



05-01-01

CPA/3726#

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Reg. for CPA
n. Chagnon
5-4-01

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 28076/SV703A

CONTINUED PROSECUTION APPLICATION REQUEST
TRANSMITTAL UNDER 37 CFR 1.53(d)RECEIVED
MAY -3 2001
TECHNOLOGY CENTER 3100**Box CPA**
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is a request under 37 CFR 1.53(d) for filing a

- ☒ continuation application.
- ☐ divisional application.

1. Particulars of Prior Application

Application Serial No: 09/286,794

Filed on: April 6, 1999

Title: Motor Shaft Assembly and Method

Art Unit: 3726

Examiner: S. Blount

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Continued Prosecution Application Request Transmittal Under 37 CFR 1.53(d) and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **April 30, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EK657821755US .

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710.00 OP

Richard Zimmermann

2. This request is filed by:

| | | | |
|------------------------------------|---|--|---|
| 1. Full Name of Inventor | Family Name Baer | First Given Name Mark | Second Given Name E. |
| Residence & Citizenship | City Williamsport | State or Foreign Country Pennsylvania | Country of Citizenship United States |
| Post Office Address | Post Office Address 126 Valley Heights Drive | City Williamsport | State & Zip Code/Country Pennsylvania (17701), USA |

| | | | |
|------------------------------------|------------------------------------|--|---|
| 2. Full Name of Inventor | Family Name Wolfe, Jr. | First Given Name Melvin | Second Given Name E. |
| Residence & Citizenship | City Hallstead | State or Foreign Country Pennsylvania | Country of Citizenship United States |
| Post Office Address | Post Office Address P.O. Box 34 | City Hallstead | State & Zip Code/Country Pennsylvania (18822), USA |

- ☐ This application is being filed by less than all the inventors named in the prior application. An accompanying statement requests deletion of the name(s) of the person(s) who are not inventors of the invention being claimed in this application.

3. Amendments

- ☐ Cancel claims in the prior application before calculating the filing fee.
- ☒ A Preliminary Amendment will follow.
Please enter the Amendment Under 37 CFR 1.116 previously filed in the prior nonprovisional application on _____, but unentered.
- ☐ The filing fee is based upon entry of the foregoing amendment(s) (if any).

4. Priority

- ☐ Priority of application No. _____, filed on _____ in _____ is claimed under 35 USC 119.
- ☐ The certified copy(ies) was(were) filed in prior U.S. application Serial No. _____.
- ☐ The certified copy(ies) has(have) not been filed.

5. **Assignment**

The prior application is assigned of record to , and has been recorded at Reel No. ,
Frame No. .

6. **Small Entity Status**

- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ A small entity statement is(are) attached.

7. **Power of Attorney**

- ☐ A new power of attorney or authorization of agent is enclosed.

8. **Information Disclosure Statement**

- ☐ PTO-1449 is enclosed.
- ☐ Copies of Information Disclosure Statement citations are enclosed.

9. **Application to Be Published**

- ☒ Yes.
- ☐ No. A Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is attached.

10. **Fee Calculation**

| CLAIMS AS FILED - INCLUDING AMENDMENT(S) (IF ANY) | | | | | | |
|---|-----------|-----------|--------------|----------|---------------------------|----------|
| | | | SMALL ENTITY | | OTHER THAN A SMALL ENTITY | |
| | NO. FILED | NO. EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE | | | | \$355.00 | | \$710.00 |
| TOTAL | 19 -20 | = 0 | X 9 = | \$0 | X 18 = | \$0 |
| INDEP. | 2 - 3 | = 0 | X 40 = | \$0 | X 80 = | \$0 |
| <input type="checkbox"/> First Presentation of Multiple Dependent Claim | | | + 135 = | \$0 | + 270 = | \$0 |
| Filing Fee: | | | | \$ | OR | \$710 |

11. Method of Payment of Fees

- ☒ Attached is a check in the amount of: \$710.00
- ☐ Charge Deposit Account No. 13-2855 in the amount of: \$ _____
A copy of this Transmittal is enclosed.

12. Deposit Account and Refund Authorization

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.


13. Correspondence Address

Customer No.: 04743

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN,
MURRAY & BORUN
6300 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6402
(312) 474-6300
(312) 474-0448 (Telefacsimile)

By:


Mark C. Zimmerman
Reg. No: 44,006

April 30, 2001